

04-13-01

PTO/SB/05 (11-00)

Please type a plus sign (+) inside the box → 

Approved for use through 10/31/2002, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

JC961 U.S. PTO  
10/10/01A  
J1036 U.S. PTO  
04/11/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No. E-1800

First Inventor or Application Identifier Carrender, et al.

Title System and Method for Controlling Remote Devices

Express Mail Label No. EJ200815160US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

1.  \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.

3.  Specification [Total Pages 13] a.  Computer Readable Form (CRF)

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (*if filed*)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 3 ]

5. Oath or Declaration [Total Pages 4 ]

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 C.F.R. § 1.63(d))

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

b. Specification Sequence Listing on:

i.  CD-ROM or CD-R (2 copies); or

ii.  paper

c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 C.F.R. § 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure  Copies of IDS  
Statement (IDS)/PTO-1449 Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other:

**18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:** Continuation     Divisional     Continuation-in-part (CIP)    of prior application No:

Prior application information: Examiner:

Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional or supplemental application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below
Name	Stephen R. May			
Address	Intellectual Property Services, Battelle Memorial Institute, Pacific Northwest Division, P.O. Box 999			
City	Richland	State	WA	Zip Code 99352
Country	USA	Telephone	(509) 375-2387	Fax (509) 375-4487

Name (Print/Type)	Stephen R. May	Registration No. (Attorney/Agent)	29,255
Signature	Stephen R. May		
	Date April 11, 2001		

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# FEE TRANSMITTAL For FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

570.00

## Complete If Known

Application Number	not yet assigned
Filing Date	herewith
First Named Inventor	Carrender et al.
Examiner Name	not yet assigned
Group/Art Unit	not yet assigned
Attorney Docket No.	E-1800

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

02-1275

Deposit Account Name

Battelle Memorial Institute - PND

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status

## 2. Payment Enclosed:

 check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee	Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)		
101 710	201	355	Utility filing fee	355
106 320	206	160	Designing filing fee	
107 490	207	245	Plant filing fee	
108 710	208	355	Reissue filing fee	
114 150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

355

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee form below	Fee Paid
35	15	X 9	135
Independent Claims	5	X 40	80
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee	Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)		
103 18	203	9	Claims in excess of 20	
102 80	202	40	Independent claims in excess of 3	
104 270	204	135	Multiple dependent claim, if not paid	
109 80	209	40	**Reissue independent claims over original patent	
110 18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

215.00

3. ADDITIONAL FEES	Fee Description	Fee Paid
Large Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	
105 130	205 65	Surcharge- late filing fee or oath
127 50	227 25	Surcharge- late provisional filing fee or cover sheet
139 130	139 130	Non-English specification
147 2,520	147 2,520	For filing a request for reexamination
112 920*	112 920*	Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115 110	215 55	Extension for reply within first month
116 390	216 195	Extension for reply within second month
117 890	217 445	Extension for reply within third month
118 1,390	218 695	Extension for reply within forth month
128 1,890	228 945	Extension for reply within fifth month
119 310	219 155	Notice of Appeal
120 310	220 155	Filing a brief in support of an appeal
121 270	221 135	Requesting for oral hearing
138 1,510	138 1,510	Petition to institute a public use proceeding
140 110	240 55	Petition to revive – unavoidable
141 1,240	241 620	Petition to revive – unintentional
142 1,240	242 620	Utility issue fee (or reissue)
143 440	243 220	Design issue fee
144 600	224 300	Plant issue fee
122 130	122 130	Petitions to the Commissioner
123 50	123 50	Petitions related to provisional applications
126 240	126 240	Submission of Information Disclosure Stmt
581 40	581 40	Recording each patent assignment per property (times number of properties)
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))
179 710	279 355	Request for Continued Examination (RCE)
Other fee (specify)		
Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)

## Complete (if applicable)

SUBMITTED BY			
Name (Print/Type)	Stephen R. May	Registration No. (Attorney/Agent)	29,255
Signature		Telephone	(509) 375-2387
Date			

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXPRESS MAIL MAILING LABEL #EJ200815160US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
CL Carrender, RW Gilbert, )  
JW Scott, DA Clark )  
)  
For: SYSTEM AND METHOD FOR ) Our Ref. No: E-1800  
CONTROLLING REMOTE )  
DEVICES ) Date: June 5, 2000  
)

CERTIFICATE OF MAILING

Box PATENT APPLICATION  
Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

The undersigned hereby certifies that the attached:

- Patent Application of 13 pages, and
- Drawings of 3 Sheets
- Fee Transmittal (2 ea.)
- Patent Transmittal
- Assignment / Cvr. Sheet
- Combined Declaration and Power of Attorney
- Information Disclosure
  - with attachments

are being deposited with the United States Postal Service as:

- First Class Mail
- Express Mail - Label #EJ200815160US in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date set forth below.

Janice K. Laybourn  
Signature

April 11, 2001  
Date Deposited

Janice K. Laybourn K1-53  
Intellectual Property Services  
Battelle Memorial Institute  
Pacific Northwest Laboratories  
P.O. Box 999  
Richland, WA 99352  
(509) 375-2655